



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/021,563         | 10/22/2001          | Mark Lucovsky         | 3080                   |

MICHALIK & WYLIE PLLC  
Suite 103  
14645 Bel-Red Road  
Bellevue, WA 98007

CONFIRMATION NO. 9308

## FORMALITIES LETTER



\*OC00000007351469\*

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/08/2002 MWOLDER1 00000086 10021563

|           |           |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |



COPY OF PAPERS  
ORIGINALLY FILED

Receipt #

**PATENT**  
Attorney Docket No. 3080

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Lucovsky et al.

Group Art Unit: 2171

Serial No. 10/021,563

Examiner: Unassigned

Filed: October 22, 2001

For: Schema-Based Services for Identity  
Based Access to Inbox Data

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated January 24, 2002. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, an Executed Declaration of inventors, a copy of the Notice to File Missing Parts of Application, a Request for Corrected Filing Receipt, and a Credit Card Payment form.

The total fee due is:

|                  |                 |
|------------------|-----------------|
| Basic Filing Fee | \$740.00        |
| Surcharge        | <u>\$130.00</u> |
| Total Fee Due:   | \$870.00        |



The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$870.00.

In re Application of LUCOVSKY et al.  
Serial No. 10/021,563

- ☒ The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,

*Albert S. Michalik*

Albert S. Michalik, Reg. No. 37,395  
Michalik & Wylie, PLLC  
14645 Bel-Red Road  
Suite # 103  
Bellevue, WA 98007  
(425) 653-3533 (telephone)  
(425) 653-3603 (facsimile)

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**CERTIFICATE OF MAILING**

I hereby certify that this response, credit card payment form, executed declaration of inventors, copy of the Notice to File Missing Parts, Request for Corrected Filing Receipt, and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 25, 2002

*Albert S. Michalik*

Albert S. Michalik



COPY OF PAPERS  
ORIGINALLY FILED

**PATENT**  
Attorney Docket No. 3080

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Lucovsky et al.

Group Art Unit: 2171

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Examiner: Unassigned

Filed: October 22, 2001

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**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there are errors with respect to the names and residences of all applicants. The first inventor's name and residence should read "**Mark H. Lucovsky, Sammamish, WA.**" The second inventor's name and residence should read "**Samuel J. George, San Mateo, CA.**" The third inventor's name and residence should read "**William R. Hoffman, Berkeley, CA.**" The fourth inventor's name and residence should read "**Jay C. Jacobs, Danville, CA.**" The fifth inventor's name and residence should read "**Paul A. Steckler, Redmond, WA.**" A copy of the filing receipt with these corrections in red ink is enclosed herewith.

Respectfully submitted,

By Albert S. Michalik  
Albert S. Michalik, Reg. No. 37,395  
One of the Attorneys for Applicant(s)  
14645 Bel-Red Road, Suite 103  
Bellevue, WA 98007  
(425) 653-3520



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/021,563         | 10/22/2001  | 2171         | 0.00          | 3080            | 4        | 5          | 2          |

MICHALIK & WYLIE PLLC  
Suite 103  
14645 Bel-Red Road  
Bellevue, WA 98007

CONFIRMATION NO. 9308

## FILING RECEIPT



\*OC000000007351468\*

Date Mailed: 01/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Samuel* <sup>H</sup> Mark Lucovsky, Residence Not Provided; Sammamish, WA  
*William* <sup>S</sup> Sam George, Residence Not Provided; San Mateo, CA  
*William* <sup>B</sup> Bill Hoffman, Residence Not Provided; Berkeley, CA  
Jay Jacobs, Residence Not Provided; Danville, CA  
Paul Steckler, Residence Not Provided; Redmond, WA

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/275,809 03/14/2001

## Foreign Applications

If Required, Foreign Filing License Granted 01/23/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Schema-based services for identity-based access to inbox data

## Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).